

PERFORMANCE DATA [DM5538]

JULY 09, 2020

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Perf No: DM5538

Change Level: 05

General Heat Rejection Emissions Regulatory Altitude Derate Cross Reference Perf Param Ref

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SALES MODEL:	3616	COMBUSTION:	DIRECT INJECTION
BRAND:	CAT	ENGINE SPEED (RPM):	1,000
ENGINE POWER (BKW):	5,960.0	HERTZ:	50
GEN POWER W/O FAN (EKW):	5,720.0	ASPIRATION:	TA
COMPRESSION RATIO:	13	AFTERCOOLER TYPE:	SCAC
RATING LEVEL:	STANDBY	AFTERCOOLER CIRCUIT TYPE:	JW, OC+AC
PUMP QUANTITY:	2	AFTERCOOLER TEMP (C):	50
FUEL TYPE:	DIESEL	JACKET WATER TEMP (C):	90
MANIFOLD TYPE:	DRY	TURBO CONFIGURATION:	PARALLEL
GOVERNOR TYPE:	WOODWARD	TURBO QUANTITY:	2
CAMSHAFT TYPE:	HSMOL	TURBOCHARGER MODEL:	NA297-4GS81B-143M
IGNITION TYPE:	CI	COMBUSTION STRATEGY:	LOW BSFC
INJECTOR TYPE:	MUI	FUEL RATE (RATED RPM) NO LOAD (L/HR):	89.5
FUEL INJECTOR:	4188820	PISTON SPD @ RATED ENG SPD (M/SEC):	10.0
TIMING-STATIC ADVANCE (DEG):	16.5		
REF EXH STACK DIAMETER (MM):	457		

INDUSTRY	SUB INDUSTRY	APPLICATION
ELECTRIC POWER	STANDARD	GENERATOR SET

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GENSET POWER WITHOUT FAN	PERCENT LOAD	ENGINE POWER	BRAKE MEAN EFF PRES (BMEP)	BRAKE SPEC FUEL CONSUMPTN (BSFC)	VOL FUEL CONSUMPTN (VFC)	INLET MFLD PRES	INLET MFLD TEMP	EXH MFLD TEMP	EXH MFLD PRES	ENGINE OUTLET TEMP
EKW	%	BKW	KPA	G/BKW-HR	L/HR	KPA	DEG C	DEG C	KPA	DEG C
5,720.0	100	5,960	2,420	196.6	1,378.7	301.5	60.2	552.4	175.4	395.6
5,148.0	90	5,364	2,178	196.6	1,240.5	256.7	59.2	548.9	145.3	407.2
4,576.0	80	4,768	1,936	198.2	1,111.7	211.1	58.2	552.4	116.5	427.7
4,290.0	75	4,470	1,815	199.5	1,049.1	189.3	57.9	555.7	103.1	439.8
4,004.0	70	4,172	1,694	201.1	986.9	168.2	57.6	559.6	90.3	452.3
3,432.0	60	3,576	1,452	205.2	863.4	127.8	57.5	567.2	67.2	476.6
2,860.0	50	2,980	1,210	211.4	741.0	90.5	57.7	571.8	47.8	495.6
2,288.0	40	2,384	968	219.7	616.3	57.7	57.9	571.3	32.2	505.5
1,716.0	30	1,788	726	231.3	486.4	32.8	57.8	530.7	20.8	479.8
1,430.0	25	1,490	605	239.6	420.0	22.8	57.7	500.4	16.6	457.4
1,144.0	20	1,192	484	251.5	352.7	14.4	57.6	463.5	13.5	428.7
572.0	10	596	242	309.8	217.2	-1.1	57.3	385.4	7.9	367.1

GENSET POWER WITHOUT FAN	PERCENT LOAD	ENGINE POWER	COMPRESSOR OUTLET PRES	COMPRESSOR OUTLET TEMP	WET INLET AIR VOL FLOW RATE	ENGINE OUTLET WET EXH GAS VOL FLOW RATE	WET INLET AIR MASS FLOW RATE	WET EXH GAS MASS FLOW RATE	ENGINE OUTLET WET EXH VOL FLOW RATE (0 DEG C AND 101 KPA)	ENGINE OUTLET DRY EXH VOL FLOW RATE (0 DEG C AND 101 KPA)
EKW	%	BKW	KPA	DEG C	M3/MIN	M3/MIN	KG/HR	KG/HR	M3/MIN	M3/MIN
5,720.0	100	5,960	304	201.6	531.4	1,229.6	38,549.2	39,720.7	502.2	461.5
5,148.0	90	5,364	259	183.4	472.4	1,115.2	34,273.8	35,327.9	447.7	411.3
4,576.0	80	4,768	213	163.8	413.2	1,003.7	29,926.6	30,871.2	391.2	358.9
4,290.0	75	4,470	191	153.7	385.2	949.3	27,814.7	28,705.8	363.7	333.4

GENSET POWER WITHOUT FAN	PERCENT LOAD	ENGINE POWER	COMPRESSOR OUTLET PRES	COMPRESSOR OUTLET TEMP	WET INLET AIR VOL FLOW RATE	ENGINE OUTLET WET EXH GAS VOL FLOW RATE	WET INLET AIR MASS FLOW RATE	WET EXH GAS MASS FLOW RATE	ENGINE OUTLET WET EXH VOL FLOW RATE (0 DEG C AND 101 KPA)	ENGINE OUTLET DRY EXH VOL FLOW RATE (0 DEG C AND 101 KPA)
4,004.0	70	4,172	170	143.4	358.0	895.1	25,749.6	26,587.9	337.0	308.6
3,432.0	60	3,576	129	122.0	305.1	783.8	21,749.0	22,482.8	285.5	260.7
2,860.0	50	2,980	92	99.6	253.8	664.8	17,982.7	18,611.7	236.2	214.4
2,288.0	40	2,384	59	78.7	206.5	547.7	14,592.2	15,115.9	192.1	173.9
1,716.0	30	1,788	34	61.5	170.1	438.9	11,984.6	12,398.0	159.2	145.0
1,430.0	25	1,490	23	54.0	155.1	386.7	10,916.3	11,273.3	144.6	132.3
1,144.0	20	1,192	15	47.2	142.0	335.8	10,002.2	10,302.1	130.7	120.4
572.0	10	596	-1	34.1	117.4	235.1	8,277.8	8,462.7	100.3	93.7

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GENSET POWER WITHOUT FAN	PERCENT LOAD	ENGINE POWER	REJECTION TO JACKET WATER	REJECTION TO ATMOSPHERE	REJECTION TO EXH	EXH RECOVERY TO 177C	FROM OIL COOLER	FROM AFTERCOOLER	WORK ENERGY	LOW HEAT VALUE ENERGY	HIGH HEAT VALUE ENERGY
EKW	%	BKW	KW	KW	KW	KW	KW	KW	KW	KW	KW
5,720.0	100	5,960	1,158	279	5,321	2,531	596	1,522	5,960	13,927	14,836
5,148.0	90	5,364	1,065	251	4,891	2,374	565	1,215	5,364	12,531	13,349
4,576.0	80	4,768	978	225	4,510	2,267	535	937	4,768	11,230	11,962
4,290.0	75	4,470	935	212	4,319	2,214	521	814	4,470	10,598	11,290
4,004.0	70	4,172	893	199	4,128	2,152	507	703	4,172	9,969	10,620
3,432.0	60	3,576	809	174	3,736	1,988	479	518	3,576	8,722	9,291
2,860.0	50	2,980	723	150	3,286	1,756	450	385	2,980	7,485	7,974
2,288.0	40	2,384	635	125	2,789	1,474	419	281	2,384	6,225	6,632
1,716.0	30	1,788	539	98.3	2,233	1,109	384	192	1,788	4,914	5,235
1,430.0	25	1,490	490	84.9	1,935	929	366	155	1,490	4,243	4,520
1,144.0	20	1,192	438	71.2	1,624	758	347	123	1,192	3,562	3,795
572.0	10	596	335	43.9	993	466	308	62.3	596	2,194	2,338

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Units Filter

RATED SPEED POTENTIAL SITE VARIATION: 1000 RPM

GENSET POWER WITHOUT FAN	EKW	5,720.0	4,290.0	2,860.0	1,430.0	572.0
ENGINE POWER	BKW	5,960	4,470	2,980	1,490	596
PERCENT LOAD	%	100	75	50	25	10
TOTAL NOX (AS NO2)	G/HR	76,916	64,393	48,300	28,415	14,223
TOTAL CO	G/HR	4,703	2,900	3,402	2,964	1,689
TOTAL HC	G/HR	5,251	4,097	3,407	2,973	3,116
TOTAL CO	(CORR 5% O2) MG/NM3	253.1	200.3	338.2	515.7	592.6
TOTAL NOX (AS NO2)	G/HP-HR	9.70	10.82	12.15	14.27	17.81
TOTAL CO	G/HP-HR	0.59	0.49	0.86	1.49	2.12
TOTAL HC	G/HP-HR	0.66	0.69	0.86	1.49	3.90
TOTAL NOX (AS NO2)	LB/HR	169.57	141.96	106.48	62.64	31.36
TOTAL CO	LB/HR	10.37	6.39	7.50	6.53	3.72
TOTAL HC	LB/HR	11.58	9.03	7.51	6.55	6.87

RATED SPEED NOMINAL DATA: 1000 RPM

GENSET POWER WITHOUT FAN	EKW	5,720.0	4,290.0	2,860.0	1,430.0	572.0
ENGINE POWER	BKW	5,960	4,470	2,980	1,490	596
PERCENT LOAD	%	100	75	50	25	10
TOTAL NOX (AS NO2)	G/HR	66,884	55,994	42,000	24,709	12,368
TOTAL CO	G/HR	3,618	2,231	2,617	2,280	1,299
TOTAL HC	G/HR	4,039	3,152	2,621	2,287	2,397
TOTAL CO	(CORR 5% O2) MG/NM3	194.7	154.1	260.2	396.7	455.8
TOTAL NOX (AS NO2)	G/HP-HR	8.43	9.41	10.56	12.41	15.49
TOTAL CO	G/HP-HR	0.46	0.37	0.66	1.15	1.63
TOTAL HC	G/HP-HR	0.51	0.53	0.66	1.15	3.00
TOTAL NOX (AS NO2)	LB/HR	147.45	123.44	92.59	54.47	27.27
TOTAL CO	LB/HR	7.98	4.92	5.77	5.03	2.86
TOTAL HC	LB/HR	8.90	6.95	5.78	5.04	5.28
DRY SMOKE OPACITY	%	1.0	2.0	3.8	6.3	7.9

GENSET POWER WITHOUT FAN	EKW	5,720.0	4,290.0	2,860.0	1,430.0	572.0
ENGINE POWER	BKW	5,960	4,470	2,980	1,490	596
PERCENT LOAD	%	100	75	50	25	10
BOSCH SMOKE NUMBER		0.36	0.38	0.87	1.35	1.59

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NON-CERTIFIED	1970 - 2100
THIS ENGINE RATING IS NOT EMISSIONS CERTIFIED BY ANY DOMESTIC OR FOREIGN AGENCY.	

Altitude Derate Data [Top](#)

ALTITUDE CORRECTED POWER CAPABILITY (BKW)

AMBIENT OPERATING TEMP (C)	0	5	10	15	20	25	30	35	40	45	NORMAL
ALTITUDE (M)											
0	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960
250	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960
500	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,960	5,896	5,803	5,960
750	5,960	5,960	5,960	5,960	5,960	5,960	5,903	5,807	5,714	5,624	5,960
1,000	5,960	5,960	5,960	5,960	5,914	5,815	5,719	5,627	5,537	5,450	5,927
1,250	5,960	5,960	5,932	5,829	5,730	5,634	5,541	5,451	5,364	5,279	5,774
1,500	5,956	5,848	5,745	5,646	5,549	5,456	5,366	5,279	5,195	5,113	5,623
1,750	5,767	5,663	5,563	5,466	5,373	5,283	5,196	5,112	5,030	4,951	5,476
2,000	5,582	5,482	5,385	5,292	5,202	5,114	5,030	4,948	4,869	4,793	5,331
2,250	5,403	5,306	5,212	5,122	5,034	4,950	4,868	4,789	4,713	4,639	5,189
2,500	5,228	5,134	5,043	4,956	4,871	4,789	4,710	4,634	4,560	2,324	5,050
2,750	5,057	4,966	4,878	4,794	4,712	4,633	4,557	4,483	4,411	2,265	4,913
3,000	4,891	4,803	4,718	4,636	4,557	4,481	4,407	4,335	2,334	2,205	4,779

AFTERCOOLER HEAT REJECTION FACTORS

AMBIENT OPERATING TEMP (C)	0	5	10	15	20	25	30	35	40	45	NORMAL
ALTITUDE (M)											
0	1.00	1.00	1.00	1.00	1.00	1.00	1.04	1.09	1.14	1.19	1.00
250	1.00	1.00	1.00	1.00	1.00	1.02	1.07	1.12	1.17	1.22	1.01
500	1.00	1.00	1.00	1.00	1.00	1.05	1.10	1.15	1.20	1.26	1.02
750	1.00	1.00	1.00	1.00	1.02	1.08	1.13	1.18	1.24	1.29	1.03
1,000	1.00	1.00	1.00	1.00	1.05	1.11	1.16	1.22	1.27	1.33	1.04
1,250	1.00	1.00	1.00	1.02	1.08	1.14	1.19	1.25	1.31	1.36	1.05
1,500	1.00	1.00	1.00	1.05	1.11	1.16	1.22	1.28	1.34	1.40	1.06
1,750	1.00	1.00	1.02	1.08	1.13	1.19	1.25	1.31	1.37	1.43	1.07
2,000	1.00	1.00	1.04	1.10	1.16	1.22	1.29	1.35	1.41	1.47	1.08
2,250	1.00	1.00	1.07	1.13	1.19	1.25	1.32	1.38	1.44	1.50	1.08
2,500	1.00	1.03	1.09	1.15	1.22	1.28	1.35	1.41	1.48	1.54	1.09
2,750	1.00	1.05	1.12	1.18	1.25	1.31	1.38	1.44	1.51	1.58	1.09
3,000	1.01	1.07	1.14	1.21	1.27	1.34	1.41	1.48	1.54	1.61	1.09

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Test Spec	Setting	Engine Arrangement	Engineering Model	Engineering Model Version	Start Effective Serial Number	End Effective Serial Number
0K8496	NAP	1593616	NAP	NAP	1PD00001	
5526497	LL2897	4657752	EE379	-	MG900001	
5526498	LL2898	4657752	EE379	-	MG900001	
5526499	LL2899	4657761	EE390	-	FTM00001	
3496361	LL8521	7E7016	E298	-	1PD00001	
3496361	NAP	7E7016	E298	-	1PD00001	

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Parameters Reference: **DM9600 - 12**

PERFORMANCE DEFINITIONS

PERFORMANCE DEFINITIONS DM9600

APPLICATION: Engine performance tolerance values below are representative of a typical production engine tested in a calibrated dynamometer test cell at SAE J1995 standard reference conditions. Caterpillar maintains ISO9001:2000 certified quality management systems for engine test Facilities to assure accurate calibration of test equipment. Engine test data is corrected in accordance with SAE J1995. Additional reference material SAE J1228, J1349, ISO 8665, 3046-1:2002E, 3046-3:1989, 1585, 2534, 2288, and 9249 may apply in part or are similar to SAE J1995. Special engine rating request (SERR) test data shall be noted.

PERFORMANCE PARAMETER TOLERANCE FACTORS: Power +/- 3% Torque +/- 3% Exhaust stack temperature +/- 8% Inlet airflow +/- 5% Intake manifold pressure-gage +/- 10% Exhaust flow +/- 6% Specific fuel consumption +/- 3% Fuel rate +/- 5% Specific DEF consumption +/- 3% DEF rate +/- 5% Heat rejection +/- 5% Heat rejection exhaust only +/- 10% Heat rejection CEM only +/- 10%

Heat Rejection values based on using treated water.

Torque is included for truck and industrial applications, do not use for Gen Set or steady state applications.

On C7 - C18 engines, at speeds of 1100 RPM and under these values are provided for reference only, and may not meet the tolerance listed.

These values do not apply to C280/3600. For these models, see the tolerances listed below.

C280/3600 HEAT REJECTION TOLERANCE FACTORS: Heat rejection +/- 10% Heat rejection to Atmosphere +/- 50% Heat rejection to Lube Oil +/- 20% Heat rejection to Aftercooler +/- 5%

TEST CELL TRANSDUCER TOLERANCE FACTORS: Torque +/- 0.5% Speed +/- 0.2% Fuel flow +/- 1.0% Temperature +/- 2.0 C degrees Intake manifold pressure +/- 0.1 kPa

OBSERVED ENGINE PERFORMANCE IS CORRECTED TO SAE J1995 REFERENCE AIR AND FUEL CONDITIONS.

REFERENCE ATMOSPHERIC INLET AIR FOR 3500 ENGINES AND SMALLER SAE J1228 AUG2002 for marine engines, and J1995 JAN2014 for other engines, reference atmospheric pressure is 100 KPA (29.61 in hg), and standard temperature is 25deg C (77 deg F) at 30% relative humidity at the stated aftercooler water temp, or inlet manifold temp.

FOR 3600 ENGINES Engine rating obtained and presented in accordance with ISO 3046/1 and SAE J1995 JANJAN2014 reference atmospheric pressure is 100 KPA (29.61 in hg), and standard temperature is 25deg C (77 deg F) at 30% relative humidity and 150M altitude at the stated aftercooler water temperature.

MEASUREMENT LOCATION FOR INLET AIR TEMPERATURE Location for air temperature measurement air cleaner inlet at stabilized operating conditions.

REFERENCE EXHAUST STACK DIAMETER The Reference Exhaust Stack Diameter published with this dataset is only used for the calculation of Smoke Opacity values displayed in this dataset. This value does not necessarily represent the actual stack diameter of the engine due to the variety of exhaust stack adapter options available. Consult the price list, engine order or general dimension drawings for the actual stack diameter size ordered or options available.

REFERENCE FUEL DIESEL Reference fuel is #2 distillate diesel with a 35API gravity; A lower heating value is 42,780 KJ/KG (18,390 BTU/LB) when used at 15 deg C (59 deg F), where the density is 850 G/Liter (7.0936 Lbs/Gal).

GAS Reference natural gas fuel has a lower heating value of 33.74 KJ/L (905 BTU/CU Ft). Low BTU ratings are based on 18.64 KJ/L (500 BTU/CU FT) lower heating value gas. Propane ratings are based on 87.56 KJ/L (2350 BTU/CU Ft) lower heating value gas.

ENGINE POWER (NET) IS THE CORRECTED FLYWHEEL POWER (GROSS) LESS EXTERNAL AUXILIARY LOAD Engine corrected gross output includes the power required to drive standard equipment; lube oil, scavenge lube oil, fuel transfer, common rail fuel, separate circuit aftercooler and jacket water pumps. Engine net power available for the external (flywheel) load is calculated by subtracting the sum of auxiliary load from the corrected gross flywheel out put power. Typical auxiliary loads are radiator cooling fans, hydraulic pumps, air compressors and battery charging alternators. For Tier 4 ratings additional Parasitic losses would also include Intake, and Exhaust Restrictions.

ALTITUDE CAPABILITY Altitude capability is the maximum altitude above sea level at standard temperature and standard pressure at which the engine could develop full rated output power on the current performance data set. Standard temperature values versus altitude could be seen on TM2001.

When viewing the altitude capability chart the ambient temperature is the inlet air temp at the compressor inlet.

Engines with ADEM MEUI and HEUI fuel systems operating at conditions above the defined altitude capability derate for atmospheric pressure and temperature conditions outside the values defined, see TM2001.

Mechanical governor controlled unit injector engines require a setting change for operation at conditions above the altitude defined on the engine performance sheet. See your Caterpillar technical representative for non standard ratings.

REGULATIONS AND PRODUCT COMPLIANCE TMI Emissions information is presented at 'nominal' and 'Potential Site Variation' values for standard ratings. No tolerances are applied to the emissions data. These values are subject to change at any time. The controlling federal and local emission requirements need to be verified by your Caterpillar technical representative. Customer's may have special emission site requirements that need to be verified by the Caterpillar Product Group engineer.

EMISSION CYCLE LIMITS: Cycle emissions Max Limits apply to cycle-weighted averages only. Emissions at individual load points may exceed the cycle-weighted limit.

EMISSIONS DEFINITIONS: Emissions : DM1176

EMISSION CYCLE DEFINITIONS

1. For constant-speed marine engines for ship main propulsion, including, diesel-electric drive, test cycle E2 shall be applied, for controllable-pitch propeller sets test cycle E2 shall be applied.
2. For propeller-law-operated main and propeller-law-operated auxiliary engines the test cycle E3 shall be applied.
3. For constant-speed auxiliary engines test cycle D2 shall be applied.

4. For variable-speed, variable-load auxiliary engines, not included above, test cycle C1 shall be applied.

HEAT REJECTION DEFINITIONS: Diesel Circuit Type and HHV Balance : DM9500

HIGH DISPLACEMENT (HD) DEFINITIONS: 3500: EM1500

RATING DEFINITIONS: Agriculture : TM6008

Fire Pump : TM6009

Generator Set : TM6035

Generator (Gas) : TM6041

Industrial Diesel : TM6010

Industrial (Gas) : TM6040

Irrigation : TM5749

Locomotive : TM6037

Marine Auxiliary : TM6036

Marine Prop (Except 3600) : TM5747

Marine Prop (3600 only) : TM5748

MSHA : TM6042

Oil Field (Petroleum) : TM6011

Off-Highway Truck : TM6039

On-Highway Truck : TM6038

SOUND DEFINITIONS: Sound Power : DM8702

Sound Pressure : TM7080

Date Released : 07/10/19